

**ESC Guidelines for  
preoperative cardiac risk assessment  
and peroperative cardiac management  
in non-cardiac surgery**

# The magnitude of the problem

- **Annually:**
  - **40.000.000 surgical procedures**
    - **400.000 myocardial infarction (1%)**
      - **133.000 cardiovascular deaths (0.3%)**

# Rationale for new ESC Guidelines

- **High incidence of perioperative cardiac mortality and morbidity**
- **Impact of vascular disease (e.g. atherosclerosis) on postoperative outcome**
- **Impact of risk reduction strategies**
  - Medications:  $\beta$ -blockers, statins, ACE-inhibitors
  - Coronary revascularization: Stents, Clopidogrel, aspirin
- **Changes of surgical techniques**



# **Guidelines for pre-operative cardiac risk assessment and perioperative cardiac management in non-cardiac surgery**

**The Task Force for Preoperative Cardiac Risk Assessment and Perioperative Cardiac Management in Non-cardiac Surgery of the European Society of Cardiology (ESC) and endorsed by the European Society of Anaesthesiology (ESA)**

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# Objectives of these guidelines

- To describe a stepwise approach for preoperative cardiac risk assessment
- To describe cardiac risk factors, risks of surgical procedure and exercise capacity
- To describe how to initiate the therapy
- To address practical issues including decisions algorithms, tables, figures and summaries
- To be easy to use for practitioners

# Classes of recommendations

- Evidence and/or general agreement that a given treatment or procedure *is beneficial, useful and effective*
- Conflicting evidence and/or divergence of opinion about the usefulness/efficacy of the given treatment or procedure
  - Weight of opinion/evidence is in favour of usefulness/efficacy
  - Usefulness/efficacy is less well established by evidences/opinion
- Evidence and/or general agreement that the given treatment or procedure *is not useful/effective and in some cases may be harmful*

Class

I

II

IIa

IIb

III

# Levels of evidence

- Data derived from *multiple* randomized clinical trials or *meta-analyzes*
- Data derived from *a single* randomized clinical trial or large-non randomized studies
- Consensus of opinion of the experts and/or small studies, restropective studies, registries

A

B

C

# A stepwise approach

**Step 1:** Urgent surgery

**Step 2:** Active or Unstable cardiac conditions

**Step 3:** What is the risk of the surgical procedure?

**Step 4:** What is the functional capacity of the patient?

**Step 5:** In patients with moderate or low functional capacity consider the risk of surgical procedure

**Step 6:** Consider cardiac risk factors

**Step 7:** Consider non invasive tests



**Step n°1: Urgent surgery** → **NO** → **Step 2**

↓  
**YES**

**Patient or surgical specific factors dictate the strategy & do not allow further cardiac testing: the consultant provides recommendations on perioperative management, surveillance for cardiac events & continuation of chronic CV medical treatment**

**If applicable, discuss the discontinuation of chronic aspirin (ASA) treatment: Discontinuation of ASA should be considered only in patients with difficult control of haemostasis during surgery**

↓  
**Surgery**

<b>I</b>	<b>C</b>
<b>Ila</b>	<b>B</b>

# ESC recommendations on perioperative ASA use

- Continuation of aspirin in patients previously treated with aspirin should be considered in the perioperative period
- *Discontinuation* of ASA in patients previously treated with that drug should be considered *only in patients with difficult haemostasis control during surgery*

Class LOE

<b>IIa</b>	<b>B</b>
<b>IIa</b>	<b>B</b>

**Step 2: Active or unstable cardiac condition(s):**  
Unstable/severe angina- Recent MI (< 30 days +ischemia) → **No** → Step3  
overt heart failure, severe arrhythmias, severe valv. disease



**Yes**

- Postpone the procedure
- Treatment options to be discussed in a multi-disciplinary team involving **all** perioperative care physicians



**Surgery**

# Step 3: Risk of surgical procedure: 30-day CV death and MI

## Low risk < 1%

- Breast
- Dental
- Endocrine
- Eye
- Gynaecology
- Reconstructive
- Orthopaedic- minor (knee surgery)
- Urologic

## Intermediate risk < 1-5%

- Abdominal
- Carotid
- Peripheral arterial angioplasty
- Endovascular aneurysm repair
- Head and neck surgery
- Neurological
- Orthopaedic major (hip & spine)
- Pulmonary/renal/ liver transplant
- Urologic- major

## High risk > 5%

- Aortic & major vascular surgery
- Peripheral vascular surgery

# Step 3: Risk of surgical procedure

- **Low risk of surgical procedure**

Identify risk factors & provide recommendations on life style & medical treatment according to the ESC guidelines for postoperative care

Class LOE

**IIa**

**B**



**Surgery**

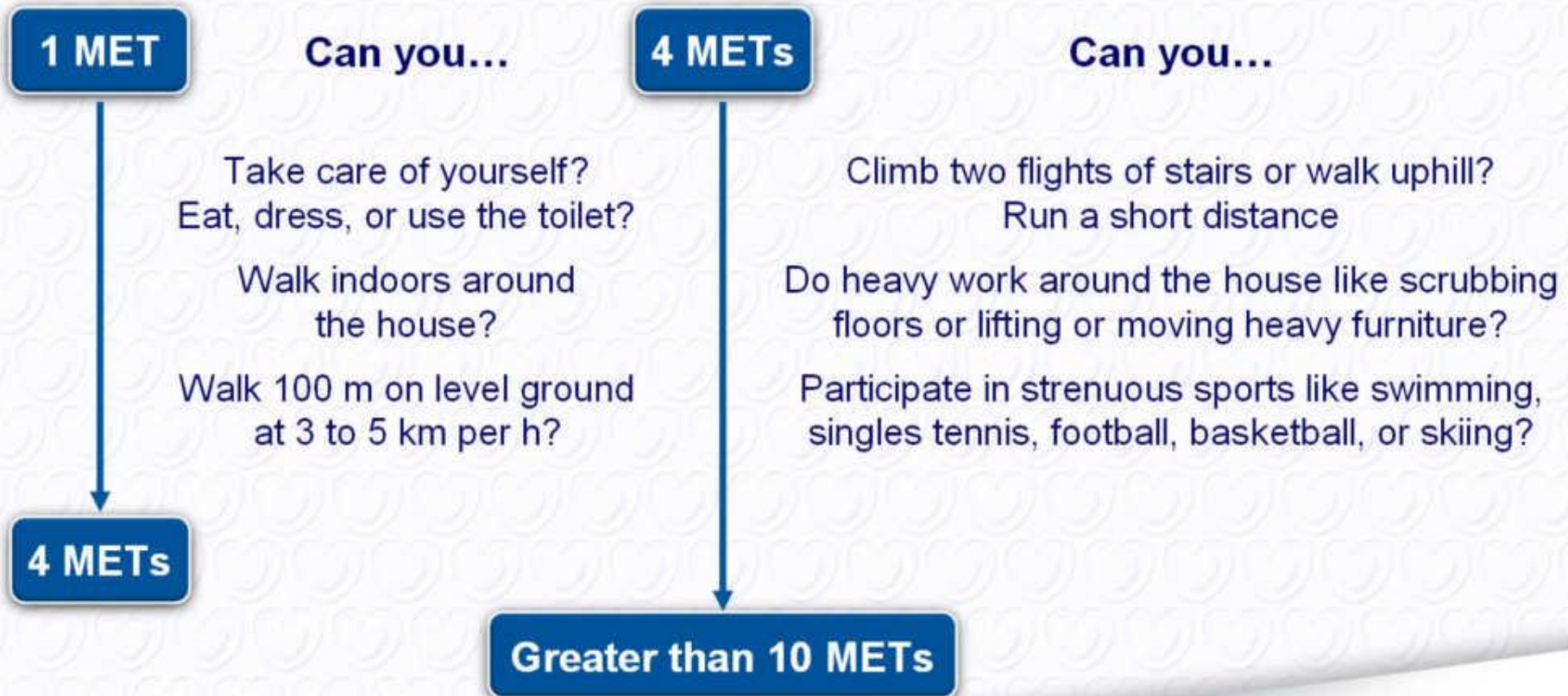
- **Intermediate or High Risk of surgical procedure**



**Step 4**

# Step 4: Functional capacity of the patient scheduled for intermediate or high-risk surgery

## Functional Capacity



# Step 4: Functional capacity of the patient scheduled for intermediate or high-risk surgery

- **Good:** climb two flight of stairs/run short distance

Coronary artery disease: or risk factor(s)

Statin therapy - titrated low dose of  $\beta$ -blocker regimen can be initiated before surgery



**Surgery**

- **Moderate or poor**



**Step 5**

Class LOE

<b>IIa</b>	<b>B</b>
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# $\beta$ -Blockers and perioperative cardiac events in randomized trials

## All trials

### Bisoprolol

DECREASE (n=1178)

BBSA (n=219)

### Metoprolol

POBBLE (n=103)

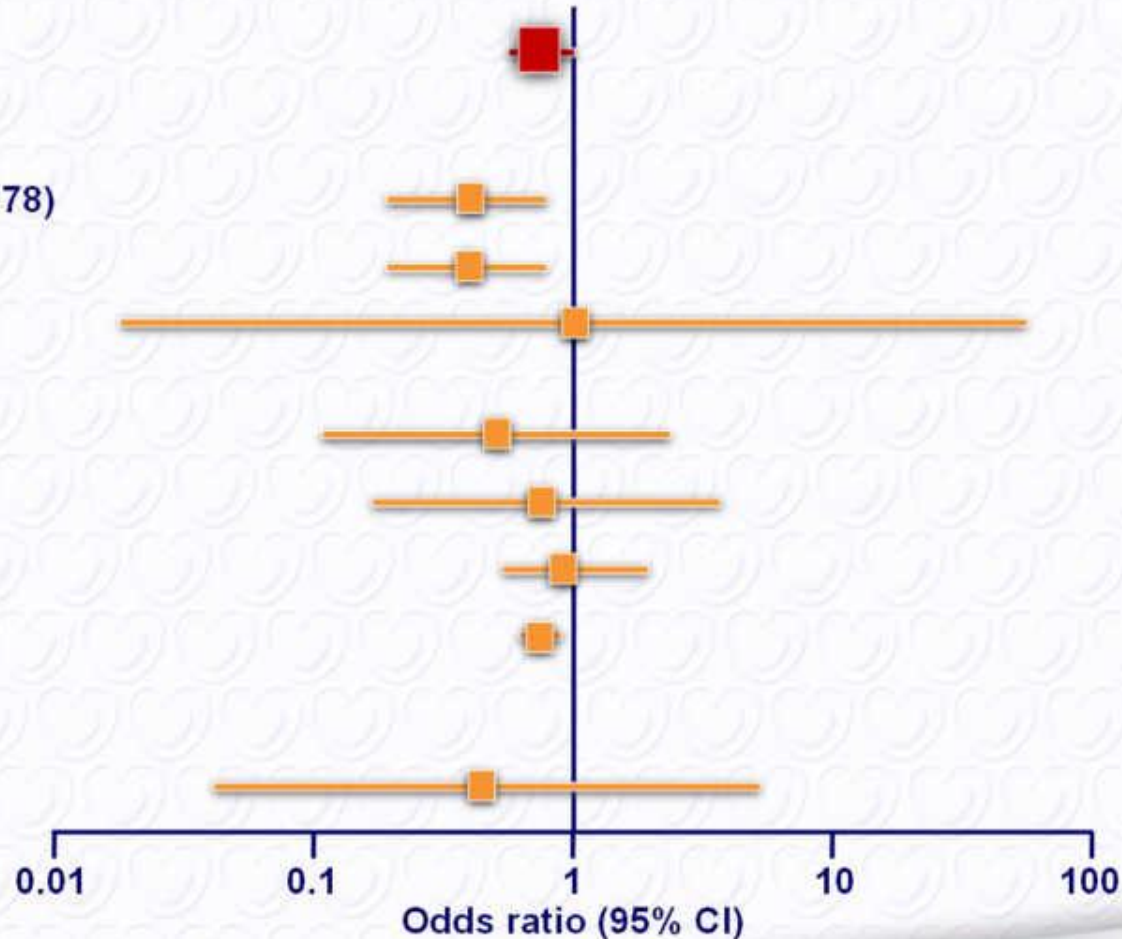
DIPOM (n=921)

maVS (n=496)

POISE (n=8351)

### Atenolol

Wallace (n=200)





# ESC recommendations on perioperative $\beta$ -blocker use

- Dose of  $\beta$ -blockers should be titrated, which requires treatment initiation 30 days before (optimal) & at least one week before surgery

*It is recommended to start with a daily dose of 2.5 mg/d of bisoprolol or 50 mg of metoprolol succinate & to adjust the dose before operation to achieve a resting HR between 60 and 70b/min with SBP >100 mmHg*

- $\beta$ -blockers are recommended in patients with IHD or myocardial ischaemia according to preoperative stress test
- $\beta$ -blockers *are not recommended* in patients scheduled for low-risk surgery without risk factors

Class LOE

I	B
III	B

# Perioperative statin use

**Durazzo et al.**

N = 100

**Lindenauer et al.**

N = 780 591

**Kertai et al.**

N = 570

**O'Neil-Callahan et al.**

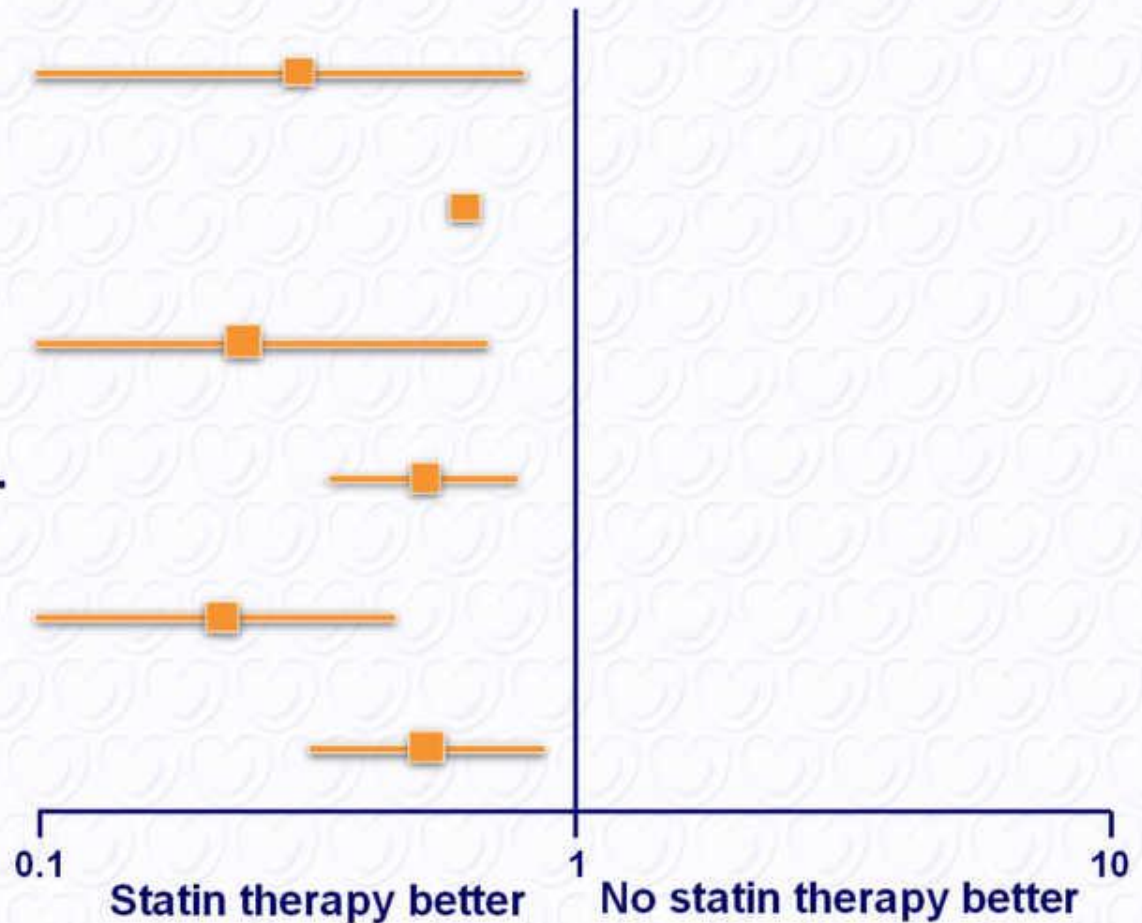
N = 1163

**Poldermans et al.**

N = 480

**Schouten et al.**

N = 497



# ESC recommendations on perioperative statin use

- It is recommended that statins should be started in high risk surgery patients, optimally between 30 days and at least one week before surgery
- It is recommended that statins should be continued perioperatively

Class	LOE
I	B
I	C

# Step 5: Intermediate or High-risk surgery with a moderate or less, functional capacity

- **Intermediate: abdominal/carotid**
  - Statin therapy
  - Titrated low dose  $\beta$ -blocker
  - ACE-inhibitors if systolic LV dysfunction
  - $\geq 1$  cardiac risk factors  $\rightarrow$  Baseline ECG

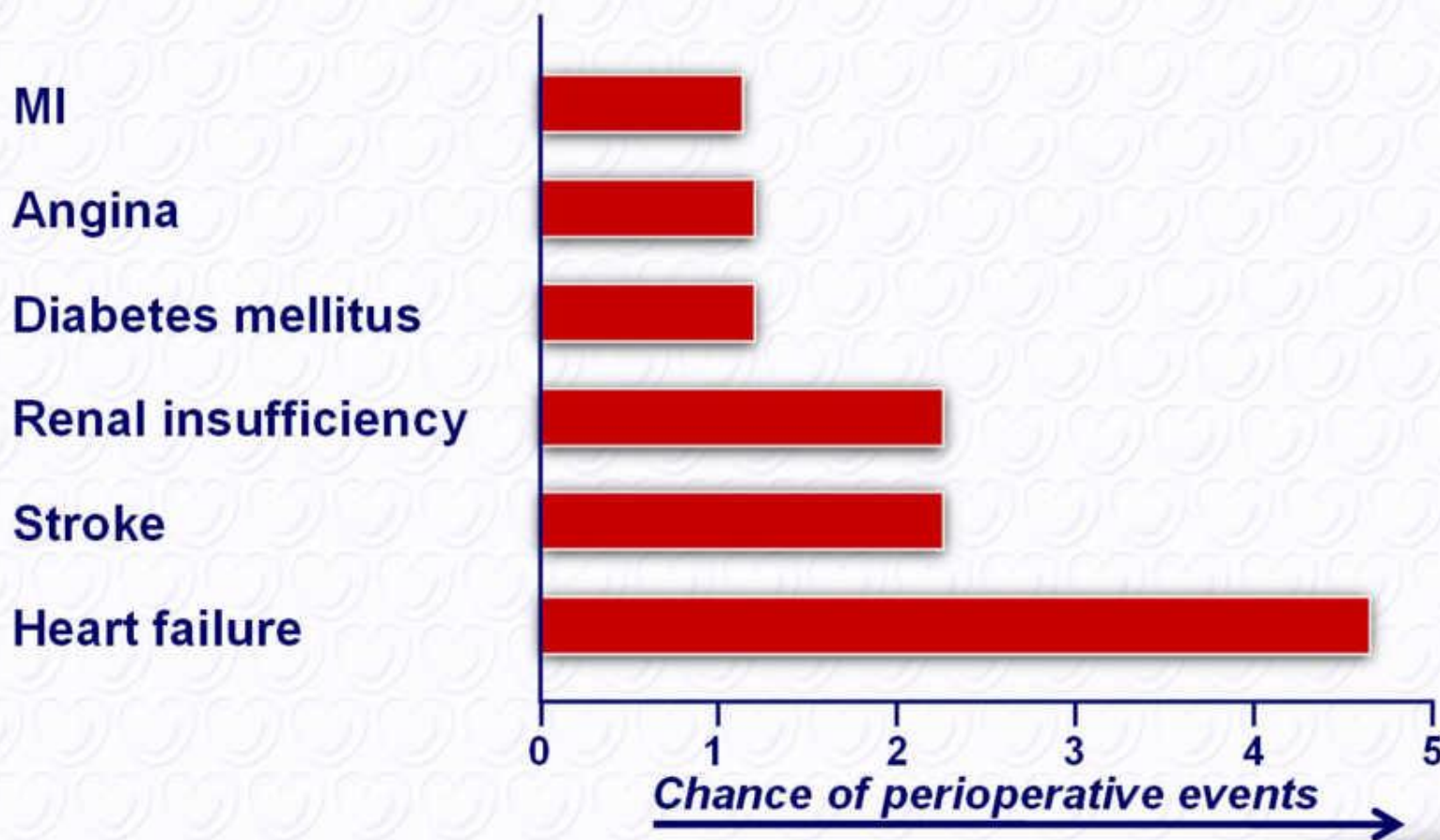
↓  
**Surgery**

- **High risk (aortic/peripheral vascular)**

↓  
**Step 6**

Class	LOE
<b>Ila</b>	<b>B</b>

# Step 6: Cardiac risk factors: Clinical outcome of 1.2 million procedures



Boersma E. Am J Med 2005;118:1134-41

# Step 6: Cardiac risk factors in high-risk surgery

1. Angina pectoris
2. MI
3. Heart failure
4. Stroke
5. Diabetes mellitus
6. Renal dysfunction

• Number of risk factors  $\leq 2$

- Statin therapy
- Titrated low dose  $\beta$ -blocker
- ACE-inhibitors if systolic LV dysfunction

**Surgery**

• Number of risk factors  $\geq 3$

**Step 7**

Class LOE

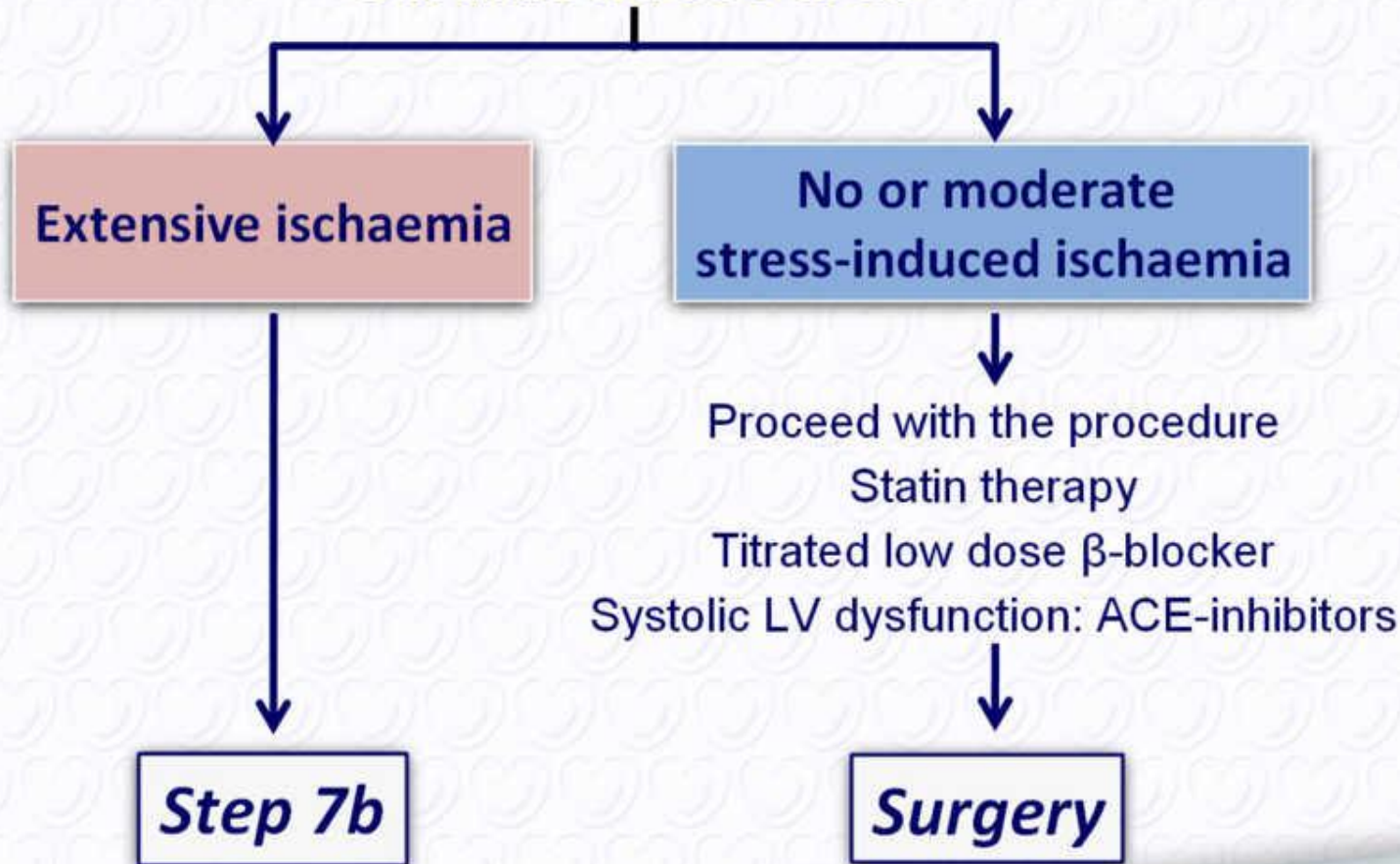
**I**

**B**

# Step 7: Preoperative testing

Consider also for patient counselling, surgery, and anaesthesia technique

## Cardiac stress test



Class	LOE
I	B

# Pathophysiology of perioperative myocardial infarction

- Increased risk of plaque rupture and thrombus formation due to the stress surgical response on haemodynamically (in)significant coronary stenosis, haemodynamic stress, vasospasm, fibrinolytic activity, platelet activation, hypercoagulability
- Sustained ischaemia
  - Myocardial oxygen supply / demand mismatch

**Accordingly:  
Choose between local or systemic treatment**



# ESC recommendations on prophylactic coronary revascularization in stable cardiac patients

- Prophylactic myocardial revascularization prior to **high-risk surgery** *may be considered* in patients with overt ischaemic heart disease
- Prophylactic myocardial revascularization prior to **intermediate-risk surgery** in patients with proven ischaemic heart disease *is not recommended*
- Prophylactic myocardial revascularization prior to **low-risk surgery** in patients with proven ischaemic heart disease *is not recommended*

Class	LOE
IIb	B
III	B
III	C

# Step 7b: Extensive stress induced ischaemia

Cardiac stress test →

- Individualized management
  - Benefit of the procedure
  - Predicted adverse outcome
  - Effect medication / revascularisation

**Extensive ischaemia**

Class	LOE
<b>I</b>	<b>B</b>

**Balloon  
Angioplasty**

Surgery > 2 weeks  
Aspirin

**Bare metal  
stent**

Surgery > 6 weeks  
Dual antiplatelet  
treatment > 6 weeks-3 mo

**Drug eluting  
stent**

Surgery > 12 months  
Dual antiplatelet  
treatment

**CABG**

**Surgery**

# Summary of preoperative cardiac risk evaluation & perioperative management

Step	Urgency	Cardiac condition	Type of surgery	Functiona capacity	Number of clinical risk factors	LV echo	ECG	Stress Testing	$\beta$ -blockers	ACE-inhibitors	Aspirin	Statins	Coronary Revascula risation
1	Urgent surgery					III C	IIa C	III C	I C	I C	I C	I C	III C
2	Elective surgery	Unstable				I C	I C	III C					I C
3	Elective surgery	Stable	Low risk (< 1%)		None	III B	III B	III C	III B	IIa C	IIb C	IIa B	III C
					$\geq 1$	III B	IIa B	III C	IIb B (titration) III A (no titration)	IIa C	IIb C	IIa B	III C
4				Excellent or good		III B	IIa B	III C	IIb B (titration)	IIa C	IIb C	IIa B	III C
									III A (no titration)				
5	Elective surgery		Intermediate risk (1 - 5%)	Moderate or poor		III B	IIb B	IIb C	IIa B (titration)	I C	IIb C	IIa B	III B
									III A (no titration)				
6	Elective surgery		High risk (> 5%)	Moderate or poor	$\leq 2$	IIa C	I B	IIb B	I B (titration)	I C	IIb C	I B	IIb B
									III A (no titration)				
6	Elective surgery		High risk (> 5%)	Moderate or poor	$\geq 3$	IIa C	I B	I C	I B (titration)	I C	IIb C	I B	IIb B
									III A (no titration)				

# What is new in these Guidelines?

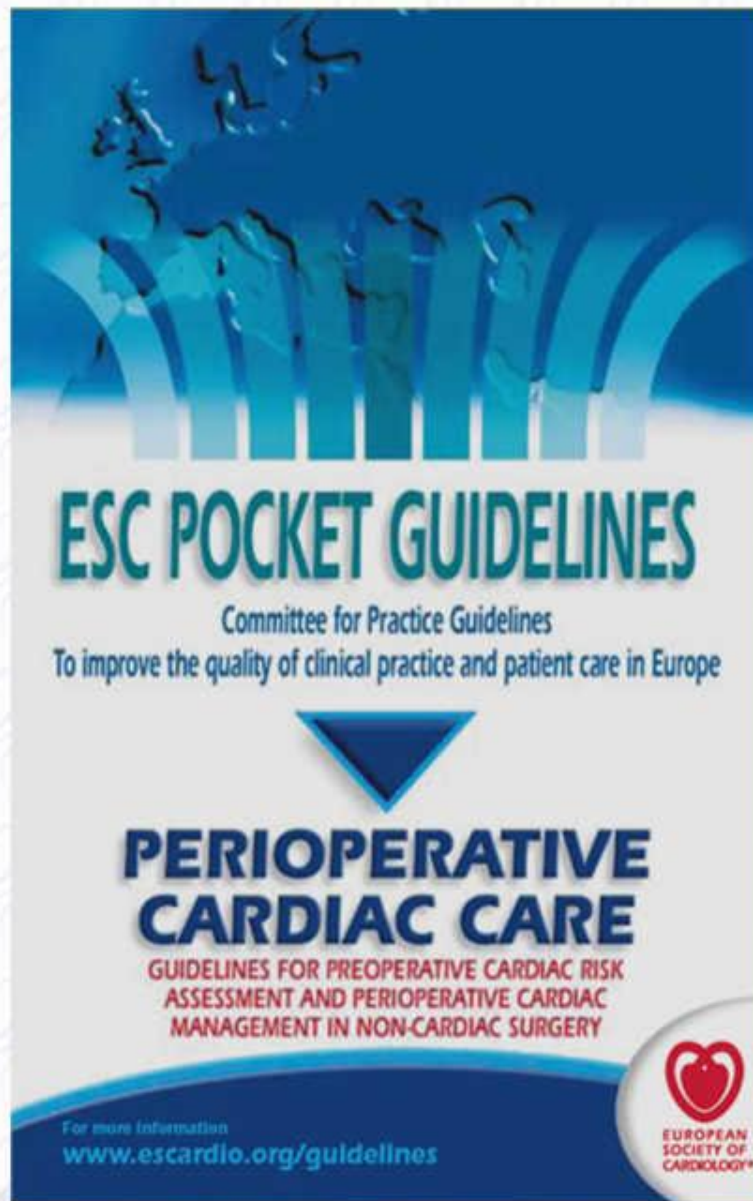
- **Integration of cardiac risk factors, exercise capacity, and risk of surgical procedure.**
- **Stratification of patients in: low (< 1%), intermediate (1-5%), and high (> 5%) risk of postoperative cardiac events.**
- **Additional cardiac stress testing is only recommended in patients with  $\geq 3$  risk factors scheduled for high risk surgery.**
- **Medication for secondary prevention of cardiovascular disease is initiated prior to surgery as it improves both postoperative and late outcome.**
- **Recommendations on perioperative antiplatelet therapy and titration of beta-blockers.**

# Which decisions were difficult?

- **Assessment of perioperative cardiac events in Europe, as few national databases were available.**
- **The prognostic value of different levels of exercise capacity.**
- **The use of perioperative aspirin, should therapy be started in patients at risk?**
- **The initiation of ACE-inhibitors in patients with left ventricular dysfunction.**
- **How long should surgery be postponed after coronary stent placement?**
- **The use of alternative medical therapy for beta-blockers for perioperative heart rate control.**

# Anticipated benefits of new Guidelines

- **Efficient preoperative work up**
  - emphasis on medical therapy
  - reduction of preoperative cardiac testing
  - reduction on prophylactic coronary artery revascularisation
- **Recommendations on medical therapy**
  - beta-blockers, statins, aspirin, clopidogrel
  - angiotensin converting enzyme inhibitors
- ***Initiation of secondary prevention prior to surgery***



The cover features a blue and white design. At the top, there is a stylized graphic of a globe with blue and white segments. Below this, the text 'ESC POCKET GUIDELINES' is written in large, bold, blue letters. Underneath, in smaller black text, it says 'Committee for Practice Guidelines' and 'To improve the quality of clinical practice and patient care in Europe'. A large blue downward-pointing triangle is centered below the text. Below the triangle, the title 'PERIOPERATIVE CARDIAC CARE' is written in large, bold, blue letters. Underneath the title, in red text, it says 'GUIDELINES FOR PREOPERATIVE CARDIAC RISK ASSESSMENT AND PERIOPERATIVE CARDIAC MANAGEMENT IN NON-CARDIAC SURGERY'. At the bottom left, in small black text, it says 'For more information' followed by the URL 'www.escardio.org/guidelines'. At the bottom right, there is a red heart logo with a white outline, and below it, the text 'EUROPEAN SOCIETY OF CARDIOLOGY®'.


**ESC POCKET GUIDELINES**

Committee for Practice Guidelines  
To improve the quality of clinical practice and patient care in Europe

**PERIOPERATIVE  
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